

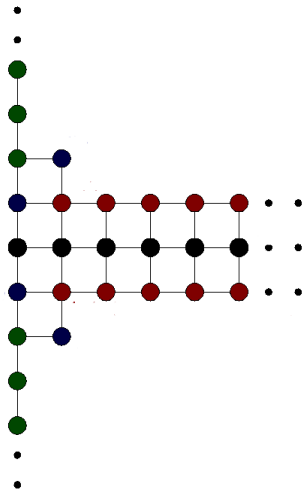
TBA and functional relations for the AdS_5/CFT_4 correspondence

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Gromov-Kazhakov-Viera:



$$\begin{aligned}
 Y_{a,b}(u+i/g)Y_{a,b}(u-i/g) &= (1+Y_{a+1,b}(u))(1+Y_{a-1,b}(u)) \\
 &\times \left(1+\frac{1}{Y_{a,b+1}(u)}\right)^{-1} \left(1+\frac{1}{Y_{a,b-1}(u)}\right)^{-1}
 \end{aligned}$$

Outline

- 1 Integrability and the planar limit of $\mathcal{N} = 4$ SYM
- 2 The thermodynamic Bethe ansatz
- 3 The TBA for $\mathcal{N} = 4$ SYM
- 4 TBA \equiv dispersion relation
- 5 Extended Y-system
- 6 Conclusions

In $\mathcal{N} = 4$ SYM with $su(N_c)$ gauge group, consider a single trace operator of the form

$$O(x) = \text{Trace}[\phi_{i_1}(x)\phi_{i_2}(x)\dots\phi_{i_L}(x)],$$

and calculate its anomalous dimension Δ from the two-point function

$$\langle O(x)O(y) \rangle \sim \frac{1}{|x-y|^{2\Delta}},$$

where

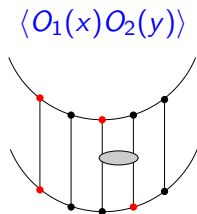
$$\Delta = \Delta_0 + \gamma(\lambda), \quad \lambda = 4\pi^2 g^2 = N_c g_{YM}^2.$$

Planar limit of $\mathcal{N} = 4$ SYM theory \rightarrow Integrable structures!

Mixing matrix \leftrightarrow Integrable quantum spin-chain Hamiltonian

Example [Minahan-Zarembo]: one loop $su(2)$ sector:

$$O_1 = \text{Trace}[ZWWZW], \quad O_2 = \text{Trace}[ZWZWW],$$



→ xxx-spin chain!

$$\left(\frac{v_j + i/2}{v_j - i/2} \right)^L = - \prod_{k=1}^M \frac{v_j - v_k + i}{v_j - v_k - i},$$

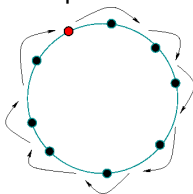
$$\gamma(\lambda) \sim \frac{i\lambda}{8\pi^2} \sum_{j=1}^M \left(\frac{1}{v_j + i/2} - \frac{1}{v_j - i/2} \right).$$

- [Beisert-Staudacher] \rightarrow all loop asymptotic BA (ABA)
In the $su(2)$ sector:

$$\left(\frac{x_d(u_j + i/g)}{x_d(u_j - i/g)} \right)^L = - \prod_{k=1}^M \frac{u_j - u_k + i2/g}{u_j - u_k - i2/g} (\sigma(u_j, u_k))^2,$$

$$(u_j = 2v_j/g)$$

- Problem: the ABA equations are ok only up to order g^{2L} !
- ABA \sim Bethe-Yang S-matrix quantisation conditions:



$$e^{iLp_j} \prod_{k \neq j} S(\theta_j, \theta_k) = 1, \text{ (ABA/Bethe-Yang).}$$

- 1 + 1dim relativistic models:

$$p_j \rightarrow m \sinh \theta_j, \quad S \rightarrow S(\theta_j - \theta_k).$$

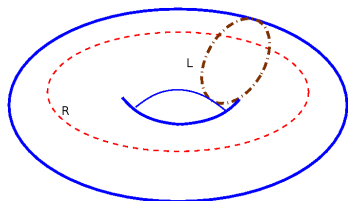
- $\mathcal{N} = 4$ SYM:

$$p_j \rightarrow i \ln \left(\frac{x_d(u_j - i/g)}{x_d(u_j + i/g)} \right), \quad S \rightarrow \left(\frac{u_j - u_k + i2/g}{u_j - u_k - i2/g} \right) (\sigma(u_j, u_k))^2.$$

Possible solutions to the wrapping problem:

- Lüscher corrections ([Bajnok-Janik](#)),
- The thermodynamic Bethe Ansatz method.

Al.B. Zamolodchikov: there are two distinct ways to develop a Hamiltonian approach to an Euclidean field theory on a torus geometry.



Periodicity in the **R-direction** leads to the quantisation of momenta. The states evolve in the time-like **L-direction** under the influence of Hamiltonian $H_R = H_m$ ($m \equiv \text{mirror}$). The partition function is

$$Z(R, L) = \text{Trace}[e^{-LH_R}].$$

Therefore,

$$\frac{1}{L} \sim \text{Temperature.}$$

In the limit $R \rightarrow \infty$ the (density of) free energy is

$$Lf^m(L) = \lim_{R \rightarrow \infty} -\frac{1}{R} \ln Z(R, L).$$

Quantisation in the **L-direction** means states evolving in the R direction under the influence of $H_L = H_d$ ($d \equiv$ direct). At large R , in this picture, we get

$$Z(R, L) \sim e^{-RE_0^d(L)}.$$

Therefore, in this limit

$$E_0^d(L) = Lf^m(L).$$

Starting from the Bethe-Yang equations, the TBA method leads to $f^m(L)$:

$$Lf^m(L) = E_0^d(L) = -\frac{m}{2\pi} \int d\theta \cosh \theta \ln(1 + e^{-\varepsilon(\theta)}),$$

with

$$\varepsilon(\theta) = mL \cosh \theta - \frac{1}{2\pi} \int d\theta' \phi(\theta - \theta') \ln(1 + e^{-\varepsilon(\theta')}),$$

(ε = pseudoenergy) and

$$\phi(\theta) = -i \frac{d}{d\theta} \ln S(\theta), \quad Y(\theta) = e^{\varepsilon(\theta)}.$$

More than one particle species:

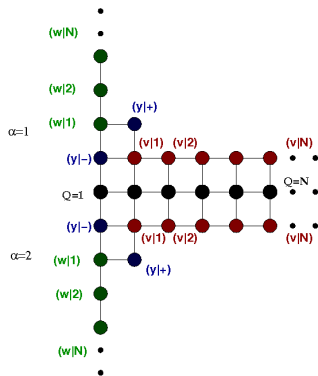
$$m \cosh \theta \rightarrow m_A \cosh \theta, \quad \phi(\theta) \rightarrow \phi_{AB}(\theta), \quad \varepsilon(\theta) \rightarrow \varepsilon_A(\theta).$$

Some of the m_A 's can be = 0: 'magnonic nodes'.

Excited state TBA [1996]: [BLZ](#), [DT](#).

The TBA for $\mathcal{N} = 4$ SYM

- Arutyunov-Frolov: Mirror Beisert-Staudacher ABA + string hypothesis;
- Bombardelli-Fioravanti-RT, Gromov-Kazakov-Kozak-Vieira, Arutyunov-Frolov: TBA.



$$\{\varepsilon_N, \varepsilon_{(v|N)}^{(\alpha)}, \varepsilon_{(v|N)}^{(\alpha)}, \varepsilon_{(y,-)}^{(\alpha)}, \varepsilon_{(y,+)}^{(\alpha)}\}$$

Non-standard properties of the $\mathcal{N} = 4$ TBA \leftrightarrow presence, in the S-matrix elements, of

$$x(u) \equiv x_m(u) = \frac{u}{2} - i\sqrt{1 - \frac{u^2}{4}}.$$

In the first Riemann sheet: $\text{Im}(x) < 0$ and $x(u) = 1/x^*(u^*)$.

$$x_d(u) = \begin{cases} x(u) & \text{for } \text{Im}(u) < 0; \\ 1/x(u) & \text{for } \text{Im}(u) > 0. \end{cases}$$

$$E_0(L) = - \sum_{\mathcal{Q}} \int_{\mathbb{R}} \frac{du}{2\pi} \frac{d\tilde{p}^{\mathcal{Q}}}{du} \ln \left(1 + e^{-\varepsilon_{\mathcal{Q}}(u)} \right),$$

with

$$\tilde{p}^{\mathcal{Q}}(u) = g x(u - i\mathcal{Q}/g) - g x(u + i\mathcal{Q}/g) + i\mathcal{Q}.$$

Q-particles:

$$\varepsilon_Q = L E_Q - \mathcal{L}_{Q'} * \phi_{Q', Q}^{\Sigma} + \mathcal{L}_{(v|M)}^{(\alpha)} * \phi_{(v|M), Q} + \oint_{\bar{\gamma}_0} dz \mathcal{L}_y^{(\alpha)}(z) \phi_{y, Q}(z, u).$$

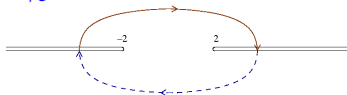
(sum over α, Q', M)

$$E_Q(u) = \ln \frac{x(u - iQ/g)}{x(u + iQ/g)}, \quad \mathcal{L}_A = \ln(1 + e^{-\varepsilon_A}), \quad Y_A = e^{\varepsilon_A}.$$

The inverse of the temperature $L \in \mathbb{N}$ is quantized for physical reasons, and

$$\mathcal{L} * \phi(u) = \int_{\mathbb{R}} dz \mathcal{L}(z) \phi(z, u).$$

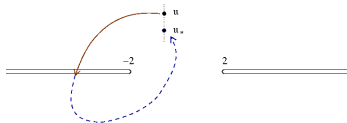
$Y_y^{(\alpha)}(u)$ has a pair of square-root branch points at $u = \pm 2$. The integration contour $\bar{\gamma}_0$:



Then

$$Y_{(y|_-)}^{(\alpha)}(u) := Y_y^{(\alpha)}(u) \quad (\text{first sheet evaluation})$$

$$Y_{(y|_+)}^{(\alpha)}(u) := Y_y^{(\alpha)}(u_*) \quad (\text{second sheet evaluation})$$



$$x_+(u) := x(u_*) = 1/x(u), \quad \text{Im}(x_+) > 0.$$

y-particles:

$$\varepsilon_y^{(\alpha)}(u) = (\pm i\mu) - \mathcal{L}_Q * \phi_{Q,y}(u) + (\mathcal{L}_{(v|M)}^{(\alpha)} - \mathcal{L}_{(w|M)}^{(\alpha)}) * \phi_M(u).$$

v-particles:

$$\varepsilon_{(v|K)}^{(\alpha)}(u) = -\mathcal{L}_Q * \phi_{Q,(v|K)}(u) + \mathcal{L}_{(v|M)}^{(\alpha)} * \phi_{MK}(u) + \oint_{\bar{\gamma}_0} dz \mathcal{L}_y^{(\alpha)}(z) \phi_K(z-u).$$

w-particles:

$$\varepsilon_{(w|K)}^{(\alpha)}(u) = \mathcal{L}_{(w|M)}^{(\alpha)} * \phi_{MK}(u) + \oint_{\bar{\gamma}_0} dz \mathcal{L}_y^{(\alpha)}(z) \phi_K(z-u).$$

ϕ_M and ϕ_{MN} are relativistic-like kernels:

$$\phi_M(u) = \frac{M/g}{\pi((u)^2 + (M/g)^2)},$$

$$\phi_{MN} = \phi_{|M-N|} + 2\phi_{|M-N|+2} + \cdots + 2\phi_{M+N-2} + \phi_{M+N}.$$

The remaining kernels depends on the two arguments separately:

$$S_{y, \mathcal{Q}}(u, z) = S_{\mathcal{Q}, y}(z, u) = \left(\frac{x(z - \frac{i}{g}\mathcal{Q}) - x(u)}{x(z + \frac{i}{g}\mathcal{Q}) - x(u)} \right) \sqrt{\frac{x(z + \frac{i}{g}\mathcal{Q})}{x(z - \frac{i}{g}\mathcal{Q})}},$$

$$S_{\mathcal{Q}\mathcal{Q}'}^{\Sigma}(u, z) = (S_{\mathcal{Q}\mathcal{Q}'}(u - z))^{-1} (\Sigma^{\mathcal{Q}\mathcal{Q}'}(u, z))^{-2},$$

where $\Sigma^{\mathcal{Q}\mathcal{Q}'}$ is the dressing factor for the mirror theory.

- The Y-system fails to be a local functional relation for

$Y_{(y|+)}(u)$:

$$\ln \frac{Y_{(y|-)}^{(\alpha)}(u)}{Y_{(y|+)}^{(\alpha)}(u)} = - \sum_Q \int_{\mathbb{R}} dz \mathcal{L}_Q(z) (\phi_{Q,(y|-)}(z, u) - \phi_{Q,(y|+)}(z, u)),$$

with

$$\phi_{Q,(y|-)}(z, u) = \phi_{Q,y}(z, u), \quad \phi_{Q,(y|+)}(z, u) = \phi_{Q,y}(z, u_*) .$$

- The functions $Y_A(u) = e^{\varepsilon_A(u)}$ live on multi-sheeted coverings of the complex plane with an ∞ number of square-root branch points.

Function	Singularity position
$Y_y^{(\alpha)}(u)$	$u = \pm 2 + i \frac{2J}{g}, \quad J = 0, \pm 1, \pm 2, \dots$
$Y_{(w M)}^{(\alpha)}(u)$	$u = \pm 2 + i \frac{J}{g}, \quad J = \pm M, \pm(M+2), \pm(M+4), \dots$
$Y_{(v M)}^{(\alpha)}(u)$	
$Y_M(u)$	

- For the mirror $\mathcal{N} = 4$ SYM: all the cuts are parallel to the real axis and external to the strip $|\Re(u)| < 2$.
- TBA \rightarrow Y-system: information lost! (i.e. dressing factor, sqrt-related info...)
- Y-system \rightarrow TBA: information on the singularities in $|Im(u)| \leq 1/g$ should be independently supplied (Y-system will propagate it through the whole u -plane)
- Problem: the discontinuity functions depend non locally on the pseudoenergies!!

Define

$$\Delta(u) = \ln \frac{Y_1(u + \frac{i}{g})}{Y_1(u_* + \frac{i}{g})}.$$

This function encodes the branching properties of $Y_1(u)$ around $u = -2 + i/g$:

$$\begin{aligned} \Delta(u) = & \sum_{N,\alpha} \int_{\mathbb{R}} dz L_{(v|N)}^{(\alpha)}(z) (K(z + \frac{i}{g}N, u) + K(z - \frac{i}{g}N, u)) \\ & + \sum_{\alpha} L_y^{(\alpha)} *_{\bar{\gamma}_0} K(u) + \dots \end{aligned}$$

TBA \equiv dispersion relation

Cavaglià-Fioravanti-RT [arXiv:1005.3016]

Set

$$T(z, u) = \frac{1}{\sqrt{4 - z^2}(z - u)},$$

then

$$\frac{\ln \left(\frac{Y_{(y| -)}^{(\alpha)}}{Y_{(y| +)}^{(\alpha)}} \right)}{\sqrt{4 - u^2}} = - \sum_Q \int_{\mathbb{R}} \frac{dz}{2\pi i} \mathcal{L}_Q(z) (T(z - iQ/g, u) - T(z + iQ/g, u)).$$

Denoting by $[f]_Z$ the discontinuity of $f(z)$ on the semi-infinite segments $z = u + iZ/g$ with $u \in (-\infty, -2) \cup (2, \infty)$:

$$[f]_Z = \lim_{\epsilon \rightarrow 0^+} f(u + iZ/g + i\epsilon) - f(u + iZ/g - i\epsilon).$$

Result: equivalence with the discontinuity relations

$$\left[\ln \left(Y_{(y| -)}^{(\alpha)} / Y_{(y| +)}^{(\alpha)} \right) \right]_{\pm 2N} = - \sum_{Q=1}^N \left[\ln \left(1 + \frac{1}{Y_Q} \right) \right]_{\pm(2N-Q)} .$$

Notice that

$$\frac{\ln \left(Y_{(y| -)}^{(\alpha)}(u) / Y_{(y| +)}^{(\alpha)}(u) \right)}{\sqrt{4 - u^2}},$$

is analytic at the points $u = \pm 2$, but it still has an infinite set branch points at $u = \pm 2 \pm i2N/g$ with $N \in \mathbb{N}^*$.

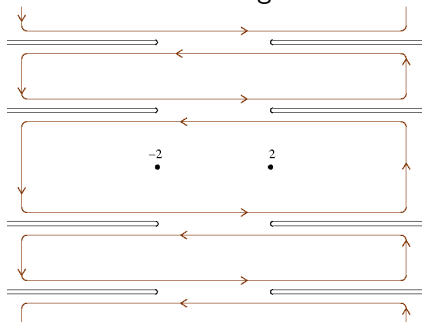
Working hypothesis for the ground-state:

- These are the only singularities on the first Riemann sheet.
- $\ln Y_{(y| -)}^{(\alpha)} / Y_{(y| +)}^{(\alpha)} \rightarrow O(1)$ uniformly as $|u| \rightarrow \infty$
- The functions $\mathcal{L}_Q = \ln(1 + \frac{1}{Y_Q})$ are free of singularities and tend to zero for $\Re(u) \rightarrow \pm\infty$ in the strip $|\Im(u)| < Q/g$.

Cauchy's theorem:

$$\frac{\ln \left(Y_{(y| -)}^{(\alpha)}(u) / Y_{(y| +)}^{(\alpha)}(u) \right)}{\sqrt{4 - u^2}} = \oint_{\gamma} \frac{dz}{2\pi i} \frac{\ln \left(Y_{(y| -)}^{(\alpha)}(z) / Y_{(y| +)}^{(\alpha)}(z) \right)}{(z - u)\sqrt{4 - z^2}}.$$

γ : positive-oriented contour inside the strip $|Im(u)| < 1/g$.
 Then, deform γ into the homotopically equivalent contour Γ_0 ,
 union of an infinite number of rectangular contours:



Since $\ln \frac{Y_{(y| -)}^{(\alpha)}}{Y_{(y| +)}^{(\alpha)}} \rightarrow O(1)$ uniformly as $|u| \rightarrow \infty$ the sum of vertical segment contributions vanish as the horizontal size of the rectangular contours tends to ∞ and using the discontinuity relation:

$$-\sum_{K=1}^{\infty} \sum_s \left(\int_{\mathbb{R}-i2sK/g+i\epsilon} - \int_{\mathbb{R}-i2sK/g-i\epsilon} \right) \sum_{Q=1}^K \mathcal{L}_Q(z+isQ/g) \frac{dz}{2\pi i \sqrt{4-z^2}(z-u)},$$

with $s = \pm 1$. Further, several cancelations take place and with a change of variables we get

$$\sum_{Q,s} \int_{\mathbb{R}+is(Q/g-\epsilon)} \mathcal{L}_Q(z) \frac{dz}{2\pi i \sqrt{4-(z+isQ/g)^2}(z+isQ/g-u)}.$$

Using the hypothesis that for the ground-state the functions \mathcal{L}_Q are free of singularities and tend to zero for $\Re(u) \rightarrow \pm\infty$ in the strip $|\Im(u)| < Q/g$.

$$-\sum_Q \int_{\mathbb{R}} \mathcal{L}_Q(z) \left(\frac{1}{\sqrt{4-(z-iQ/g)^2}(z-iQ/g-u)} - \frac{1}{\sqrt{4-(z+iQ/g)^2}(z+iQ/g-u)} \right) \frac{dz}{2\pi i},$$

which matches perfectly the TBA result!

The derivation of the remaining TBA equations from the functional equations for the discontinuities is very similar...

Extended Y-system

Y-system+

$$[\Delta]_{\pm 2N} = \mp \sum_{\alpha=1,2} \left(\left[\ln \left(1 + \frac{1}{Y_{(y|\mp)}^{(\alpha)}} \right) \right]_{\pm 2N} + \sum_{M=1}^N \left[\ln \left(1 + \frac{1}{Y_{(v|M)}^{(\alpha)}} \right) \right]_{\pm(2N-M)} \right. \\ \left. + \ln \left(\frac{Y_{(y|-)}^{(\alpha)}}{Y_{(y|+)}^{(\alpha)}} \right) \right),$$

$$\left[\ln \left(\frac{Y_{(y|-)}^{(\alpha)}}{Y_{(y|+)}^{(\alpha)}} \right) \right]_{\pm 2N} = - \sum_{Q=1}^N \left[\ln \left(1 + \frac{1}{Y_Q} \right) \right]_{\pm(2N-Q)},$$

$$\left[\ln Y_{(w|1)}^{(\alpha)} \right]_{\pm 1} = \ln \left(\frac{1 + 1/Y_{(y|-)}^{(\alpha)}}{1 + 1/Y_{(y|+)}^{(\alpha)}} \right), \quad \left[\ln Y_{(v|1)}^{(\alpha)} \right]_{\pm 1} = \ln \left(\frac{1 + Y_{(y|-)}^{(\alpha)}}{1 + Y_{(y|+)}^{(\alpha)}} \right).$$

Conclusions

- The local form of the Y-system is not convertible to TBA without knowledge on the discontinuity functions in the strip $|Im(u)| \leq 1/g$.
- The discontinuity information is encoded in a local set of functional relations.
- The ground-state TBA can be derived, including the dressing factor, from the extended Y-system assuming no extra singularities are present on the reference sheet.
- Conjecture: all the proposed excited state TBA equations can be obtained in the same way by including extra \log singularities.